

AO 128 (Rev. 3/94)

TO: <b>Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK</b>
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
filed in the U.S. District Court Central District, California on the following ☒ Patents or ☐ Trademarks:

<b>CAUSE NO.</b> <b>CV 11-00969</b>	<b>U.S. DISTRICT COURT</b> Central District, California
<b>PLAINTIFF</b> OCUGIENE, INC., a California Corporation	<b>DEFENDANT</b> EYE ECO, INC., a California Corporation

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,211,070 B2	5/1/2007	OCUGIENE, INC.
2 7,513,893, B2	5/1/2007	OCUGIENE, INC.
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	<input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
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In the above—entitled case, the following decision has been rendered or judgement issued:

<b>DECISION/JUDGEMENT</b>
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<b>CLERK</b>	<b>(BY) DEPUTY CLERK</b>	<b>DATE</b>
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